| PTO/SB/05 (07-03)<br>3. OMB 0651-0032<br>IT OF COMMERCE<br>MB control number. | . PTO 37   |   |
|---|------------|---|
|   | 4.8<br>356 |   |
|   | 1 7        | = |

Approved for use through 7/31/200 U.S. Patent and Trademark Office. U.S. DEPARTMEN Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid

## UTILITY PATENT APPLICATION **TRANSMITTAL**

Jonathan P.

Name (Print/Type)

| required to respond to a collection o | information unless it displays a valid OMB control number. |  |  |  |
|---------------------------------------|--|--|--|--|
|                                       | 13DV-14080 (07783-0096)                                    |  |  |  |
| Attorney Docket No.                   |  |  |  |  |
| First Inventor                        | DAS et al.   |  |  |  |
| First Inventor                        | METHOD OF SELECTIVE REGION VAPOR PHASE ALUMINIZING         |  |  |  |
| Title                                 |  |  |  |  |
| Evames Mail Lahel No                  | ER 232059232 US  |  |  |  |

Date October 15, 2003

(Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 APPLICATION ELEMENTS ADDRESS TO: Alexandria VA 22313-1450 See MPEP chapter 600 concerning utility patent application contents. CD-ROM or CD-R in duplicate, large table or Fee Transmittal Form (e.g., PTO/SB/17) Computer Program (Appendix) 1. (Submit an original and a duplicate for fee processing) Nucleotide and/or Amino Acid Sequence Submission 8. Applicant claims small entity status. (if applicable, all necessary) 2. See 37 CFR 1.27. a. Computer Readable Form (CRF) Specification [Total Pages 30] 3. (preferred arrangement set forth below) b. Specification Sequence Listing on: Descriptive title of the invention - Cross Reference to Related Applications i. CD-ROM or CD-R (2 copies); or - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, ii. Paper or a computer program listing appendix c. Statements verifying identity of above copies - Background of the Invention - Brief Summary of the Invention ACCOMPANYING APPLICATION PARTS - Brief Description of the Drawings (if filed) - Detailed Description Assignment Papers (cover sheet & document(s)) Claim(s) 9. 🛛 - Abstract of the Disclosure 37 CFR 3.73(b) Statement Power of Attorney 10. 🔲 Drawing(s) (35 U.S.C. 113) [Total Sheets 4] (when there is an assignee) 4. 🛛 English Translation Document (if applicable) 11. 🔲 Oath or Declaration [Total Sheets 1] Copies of IDS Citations 5. Information Disclosure 12. 🖂 Statement (IDS)/PTO-1449 a. Newly executed (original or copy) b. Copy from a prior application (37 CFR 1.63(d)) Preliminary Amendment 13. (for continuation/divisional with Box 18 completed) Return Receipt Postcard (MPEP 503) 14. (Should be specifically itemized) Certified Copy of Priority Document(s) i. DELETION OF INVENTOR(S) 15. 🔲 (if foreign priority is claimed) Signed statement attached deleting inventor(s) Nonpublication Request under 35 U.S.C. 122 name in the prior application, see 37 CFR 16. (b)(2)(B)(i). Applicant must attach form PTO/SB/35 1,63(d)(2) and 1.33(b). or its equivalent. Other: Certificate of Express Mailing, NASA Declaration 17. Application Data Sheet. See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the 6. 🔯 specification following the title, or in an Application Data Sheet under 37 CFR 1.76: of prior application No.: ...... Continuation-in-part (CIP) Divisional Continuation Art Unit: For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied rol CONTINUATION OF DIVISIONAL AFFS only; the entire disclosure of the prior application, from which an oath or declaration is supplication and is hereby incorporated by reference, under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Correspondence address below 31450 Customer Number: Name Address Zip Code State City Fax Telephone Country 48,483 Registration No. (Attorney Agent)

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time year course to complete application form to the USPTO. on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|   | Complete if Known    |                         |  |  |  |  |
|---|----------------------|-------------------------|--|--|--|--|
| FEE TRANSMITTAL   | Application Number   |                         |  |  |  |  |
| for FY 2003   | Filing Date          | October 15, 2003        |  |  |  |  |
|   | First Named Inventor | DAS et al.              |  |  |  |  |
| Effective 01/01/2003. Patent fees are subject to annual revision. | Examiner Name        | n/a                     |  |  |  |  |
| ☐ Applicant claims small entity status. See 37 CFR 1.27           | Group / Art Unit     | n/a                     |  |  |  |  |
| TOTAL AMOUNT OF PAYMENT (\$) 936                                  | Attorney Docket No.  | 13DV-14080 (07783-0096) |  |  |  |  |

| 101712711100  |                      |                      |              |               |                |  |                                  |  |   |   |  |        |
|---|----------------------|----------------------|--------------|---------------|----------------|--|----------------------------------|--|---|---|--|--------|
| METHOD OF PAYMENT (check all that apply)  |                      |                      |              |               |                | FEE CALCULATION (continued)            |                                  |  |   |   |  |        |
|   |                      | <del></del>          |              |               | Mari           |  | 3. ADD                           | DITIONAL   | L FEES  |   |  |        |
| ☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order  |                      |                      | <u>Large</u> | Entity        | Small E        | ntity                                  |                                  |  |   |   |  |        |
|   |                      |                      |              |               | Fee<br>Code    | Fee<br>(\$)                            | Fee<br>Code                      | Fee<br>(\$)  | Fee Description                               | Fee Paid  |  |        |
| Deposit   | E0 1050              |                      |              |               |                |  | 1051                             | 130  | 2051  | 65  | Surcharge - late filing fee or oath  |        |
| Account 1 50-1059   |                      |                      |              |               |                | 1052                                   | 50                               | 2052   | 25  | Surcharge - late provisional filing fee or cover sheet.   |  |        |
| Deposit   |                      |                      |              | 1053          | 130            | 1053                                   | 130                              | Non-English specification                              |   |   |  |        |
| Account McNees Wallace & Nurick LLC   |                      |                      |              | 1812          | 2,520          | 1812                                   | 2,520                            | For filing a request for reexamination                 | <u></u>                                       |   |  |        |
| Name  |                      |                      |              |               |                | 1804                                   | 920*                             | 1804   | 920*  | Requesting publication of SIR prior to<br>Examiner action |  |        |
| The Commissioner is authorized to: (check all that apply)  ☑ Charge fee(s) indicated below ☑ Credit any overpayments ☐ Charge any additional fee(s) during the pendency of this application |                      |                      |              |               |                | 1805                                   | 1,840*                           | 1805   | 1,840*  | Requesting publication of SIR after<br>Examiner action    |  |        |
| ☐ Charge fee(s) in  | ndicated beli        | ow, ex               | cept for the | e filing fe   | е              |  | 1251                             | 110  | 2251  | 55  | Extension for reply within first month   |        |
| to the above-identi   | ified deposit        | accou                |              |               |                |  | 1252                             | 410  | 2252  | 205   | Extension for reply within second month  |        |
|   |                      |                      |              |               |                |  | 1253                             | 930  | 2253  | 465   | Extension for reply within third month   |        |
| BASIC FILING FEE     Large Entity   |                      |                      |              |               | 1254           | 1,450                                  | 2254                             | 725  | Extension for reply within fourth month       |   |  |        |
|   | ee Fee               | Fe                   | e Descriptio | <u>n</u>      | For Pat        | 1                                      | 1255                             | 1,970  | 2255  | 985   | Extension for reply within fifth month   |        |
|   | Code (\$)            | ,                    | DE GUE - C   |               | Fee Paid       | <u>.</u>                               | 1401                             | 320  | 2401  | 160   | Notice of Appeal   |        |
| 1001 730 2001 370 311119 11119  |                      | 1402                 | 320          | 2402          | 160            | Filing a brief in support of an appeal |                                  |  |   |   |  |        |
|   |                      |                      | 1403         | 280           | 2403           | 140                                    | Request for oral hearing         |  |   |   |  |        |
| 1004 750 2  | 2003 260<br>2004 375 | 5 Reissue filing fee |              | $\exists$     | 1451           | 1,510                                  | 1451                             | 1,510  | Petition to institute a public use proceeding |   |  |        |
| 1005 160 2005 80 Provisional filling fee  |                      |                      | 1452         | 110           | 2452           | 55                                     | Petition to revive – unavoidable |  |   |   |  |        |
| SUBTOTAL (1) (\$) 770   |                      |                      |              | 1453          | 1,300          | 2453                                   | 650                              | Petition to revive – unintentional                     |   |   |  |        |
|   | 30810                | - IML (              | .,           |               | (W) 1 7 0      |  | 1501                             | 1,300  | 2501  | 650   | Utility issue fee (or reissue)   |        |
| 2. EXTRA CLAIN  | 1 FEES               |                      |              |               |                | _                                      | 1502                             | 470  | 2502  | 235   | Design issue fee   |        |
|   |                      | _                    |              | Fee from      | Fee<br>Paid    | 1                                      | 1503                             | 630  | 2503  | 315   | Plant issue fee  |        |
| Total Claims 27   | -20 **               | = T                  |              | below<br>18   | Paid<br>126 =  | <u>'</u>                               | 1460                             | 130  | 1460  | 130   | Petitions to the Commissioner  |        |
| Independent   | = "                  | =                    |              |               | i ==           | $\dashv$                               | 1807                             | 50   | 1807  | 50  | Processing fee under 37 CFR 1.17 (q)   | · ———— |
| Claims 3  | -3 **                | = 0                  | x            | 86            | = 0            |  | 1806                             | 180  | 1806  | 180   | Submission of Information Disclosure Stmt  |        |
| Multiple<br>Dependent   | ı Şmall Eı           | ntitu.               | . <b>x</b>   |               | = 0            |  | 8021                             | 40   | 8021  | 40  | Recording each patent assignment<br>per property (times number of<br>properties) | 40     |
| Large Entity Fee Fee  | Fee<br>Code          | Fee<br>(\$)          | Fee Desci    | <u>iption</u> |                |  | 1809                             | 750  | 2809  | 375   | Filing a submission after final rejection (37 CFR § 1.129(a))                    | ור     |
| Code (\$)<br>1202 18  | 2202                 | ( <b>3</b> )         | Claims in    | excess of 2   | 20             |  | 1810                             | 750  | 2810  | 375   | For each additional invention to be  |        |
| 1202 18   | 2202                 | 42                   |              |               | in excess of   | 3                                      |                                  | -  |   | -   | examined (37 CFR § 1.129(b))   |        |
| 1201 64   | 2203                 | 140                  |              |               | laim, if not p |  | 1801                             | 750  | 2801  | 375   | Request for Continued Examination (RCE)  | \      |
| 1204 84   | 2204                 | 42                   |              | independe     | ent claims o   |  | 1802                             | 900  | 1802  |   | Request for expedited examination  |        |
| 1205 18   | 2205                 | 9                    | • .          | claims in     | excess of 2    | 0 and                                  |                                  | for t  | .: 4.3  |   | of a design application  |        |
|   |                      | SUB                  | STOTAL (2)   | (\$) 126      | 3              |  | Other                            | fee (spec  | (yان  | _   |  |        |
|   |                      |                      |              | L             | <u></u>        |  | *Redu                            | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40 |   |   |  |        |
| **or number prev  | viously paid,        | if grea              | ater; For Re | issues, se    | e above        |  |                                  |  |   |   |  |        |

| SUBMITTED BY      |                    | Cor                              | Complete (if applicable) |                  |              |
|-------------------|--------------------|----------------------------------|--------------------------|------------------|--------------|
| Name (Print/Type) | Jonathan P. Miller | Registration No. Attorney/Agent) | 48,483                   | Telephone        | 717-237-5358 |
| Signature         | lost !:            | Mille                            | Date                     | October 15, 2003 |              |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

## CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. 1.10

I hereby certify that the following documents are being deposited with the United States Postal Service Express Mail Post Office to Addressee service under 37 C.F.R. 1.10 on the date indicated below, Express Mail Label Number **ER** 232059232 US, dated October 15, 2003 and addressed to:

Mail Stop PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

- 1. Return Acknowledgment Postcard
- 2. Utility Patent Application Cover Sheet
- 3. Fee Transmittal Form
- 4. Patent Application (30 Pages)
- 5. Drawings (4 sheets)
- 6. Declaration (1 copy of executed original, 1 page)
- 7. Application Data Sheet
- 8. NASA Declaration (1 copy of executed original, 2 pages)
- 9. Power of Attorney (1 copy of executed original, 4 pages)
- 10. Assignment Recordation Form
- 11. Assignment (1 copy of executed original, 3 pages)
- 12. Information Disclosure Statement
- 13. PTO/SB/08

By: (Type signature) Lindsay Vican

Written Signature:
Date: October 15, 2003